

ASSESSING AND REGISTERING RISK

ID	Date	Review Date	Risk	Likelihood	Impact	Overall	Owner	Mitigation	Progress against actions	Status
YD1	01/08/18	01/08/19	Serious Injury of Death of Service User of Staff		1	3	3 Antony Morland	Appropriate policies, training of staff, equipment servicing and upkeep of premises	Current polices and training fit for purpose	Open
YD2	01/08/18	01/08/19	Loss of service due to equipment failure		1	1	1 Antony Morland	Maintain equipment, perform quality assurance	Current practices fit for purpose	Open
YD3	01/08/18	01/08/19	Loss of service due to fire or flood		1	1	1 Antony Morland	Equip the premises with appropriate alarms, fire extinguishers and train staff appropriately	Current levels of training and equipment are fit for purpose	Open
YD4	01/08/18	01/08/19	Infection risk to service users and staff		1	3	3 Antony Morland	Ensure policies are appropriate and training is in place to minimise risk	Current policies and training are fit for purpose	Open
YD5	01/08/18	01/08/19	Abuse of service user or staff		1	3	3 Antony Morland	Ensure safeguarding policies are appropriate, training of staff and DBS checks	Current policies, training and checks are in place	Open

Appendix 1- Definition of impact and likelihood

Impact area	Low	Medium	High
Financial*	£50k to £250k impact on bottom line	£250k to £500k impact on bottom line	£500k or greater impact on bottom line
Health & Safety	HSE Reportable injury, disease or dangerous occurrence.	Serious injury (loss of limbs, sight etc) with long term consequences for those injured	Fatalities and/or prosecution by the HSE.
Reputation	Significant adverse publicity in local/national media	Sustained adverse publicity in national media. Long and short term damage to reputation.	Sustained adverse media coverage at various levels. Long term damage to reputation and widespread loss of confidence in the University.
Legislative	Potentially serious legal or regulatory implications.	Very serious legal or regulatory concerns.	Legal or regulatory issue leading to inability to continue significant area of operations.
Ability to deliver services	Major disruption (typically 1 to 4 weeks). Significant management action and/or outside assistance needed to recover.	Disruption causing inability to deliver majority of services at University wide level or services of an entire department. Significant senior management involvement needed.	Immediate impact on University's ability to provide services causing total shut down of operations. Senior management involvement needed.

*These financial thresholds apply at the corporate level. Individual departments will scale down these figures in line with the size of the department when completing departmental registers.

	Low	Medium	High
Likelihood	Unlikely to occur given current circumstances (including mitigations). Approx 0% to 20% chance of occurring in the next 2 years	Reasonable chance of occurring given current circumstances (including mitigations). Approx. 20% to 50% chance of occurring in the next 2 years	More likely to occur than not in the next 2 years. i.e. probable to certain.

Scoring is the product of Likelihood and Impact